

Voting Details for the period ending on 31st Dec 17

SR. No.	Meeting Date	Company Name	Type of meetings (AGM/EGM/PB)	Proposal by Management or Shareholder	Proposal's description	Investee company's Management Recommendation	Voting Decision	Rationale for Voting
1	03-Nov-17	Future Retail	EGM	Management	Issue of Equity Shares on Preferential Allotment / Private Placement basis.	FOR	Not participated	Since the percentage of shareholding is lower
2	15-Nov-17	Tata Motors	Court Convened Meeting (Equity Shareholders)	Management	Scheme of Merger and Arrangement under Sections 230-232 of the Companies Act, 2013	FOR	FOR	Merger of Wholly Owned Subsidiary into the Company. No governance concern identified.
3	15-Nov-17	Tata Motors-DVR	Court Convened Meeting (Equity Shareholders)	Management	Scheme of Merger and Arrangement under Sections 230-232 of the Companies Act, 2013	FOR	Not participated	No Holding
4	20-Nov-17	INDIA GRID TRUST	EGM	Management	APPROVAL OF THE PROPOSED ACQUISITION OF POWER TRANSMISSION ASSETS FROM SPONSOR AND MATTERS RELATED THERETO	FOR	For	The 3 asset addition are value accretive and adds to the yield on a cash flow basis. Higher dividend can also be expected due to the additions and leverage will add to ROI
5	20-Nov-17	INDIA GRID TRUST	EGM	Management	AUTHORITY TO BORROW AND CREATE CHARGE ON ASSETS AND MATTERS RELATED THERETO	FOR	For	The 3 asset addition are value accretive and adds to the yield on a cash flow basis. Higher dividend can also be expected due to the additions and leverage will add to ROI
6	14-Nov-17	Interglobe Aviation Ltd	Postal Ballot	Management	Extending travel benefits to the Non-Executive Independent Directors of the Company	FOR	Against	Proposed method of reimbursement not in accordance with the letter and spirit of law
7	14-Nov-17	Interglobe Aviation Ltd	Postal Ballot	Management	Authority to the Board of Directors to give loans and guarantees, provide security and make investments in securities of other bodies corporates pursuant to Section 186 of the Companies Act, 2013.	FOR	Abstain	Normal Course of business hence management call .
8	05-Dec-17	Indraprastha Gas	Postal Ballot	Management	Re-appointment of Shri S. S. Rao as an Independent Director of the Company	FOR	Abstain	Cannot opine on individual capabilities
9	05-Dec-17	Indraprastha Gas	Postal Ballot	Management	Re-appointment of Prof V. Ranganathan as an Independent Director of the Company	FOR	Abstain	Cannot opine on individual capabilities
10	05-Dec-17	Indraprastha Gas	Postal Ballot	Management	Re-appointment of Shri Santosh Kumar Bajpai as an Independent Director of the Company.	FOR	Abstain	Cannot opine on individual capabilities
11	08-Dec-17	Hind. Unilever	Postal Ballot	Management	Appointment of Mr. Srinivas Phatak as Whole-time Director of the Company	FOR	FOR	Compliant with a law, no concern identified
12	27-Nov-17	SpiceJet	AGM	Management	Adoption of audited Annual Accounts and Reports of the Auditors and Directors	FOR	Not participated	Since the percentage of shareholding is lower
13	27-Nov-17	SpiceJet	AGM	Management	Appointment of Mrs. Shiwani Singh, who retires by rotation and being eligible, offers herself for re-appointment.	FOR	Not participated	Since the percentage of shareholding is lower
14	27-Nov-17	SpiceJet	AGM	Management	To ratify the appointment of Statutory Auditors of the Company.	FOR	Not participated	Since the percentage of shareholding is lower
15	27-Nov-17	SpiceJet	AGM	Management	Approval of SpiceJet Employee Stock Option Scheme - 2017 and grant of stock options to the employees of the Company.	FOR	Not participated	Since the percentage of shareholding is lower
16	27-Nov-17	SpiceJet	AGM	Management	Grant of stock options to the employees of subsidiary or holding company(ies) of the Company under SpiceJet Employee Stock Option Scheme - 2017	FOR	Not participated	Since the percentage of shareholding is lower

17	04-Dec-17	Punjab Natl.Bank	EGM	Management	Create , Offer, Issue and allot such number of Equity shares of face value Rs ` 2/- in aggregate not exceeding Rs` 5000/-Crore by increasing `Rs 2000/-Crore in its existing limit of Rs` 3000/- which was approved by the shareholders of the bank in the Extra Ordinary General Meeting dated 12th Sep 2017 Inclusive such premium as maybe fixed on such amount or such other amount as may be approved by GOI and RBI by the way of FPO(Follow-on Public Offer)/Qualified Institutional Placements(QIPs)/Rights/ESOP issue and/or any other mode(s) or a combination(s) thereof, as may be decided by the board in one or more tranches, subject to the condition that the GOI shareholding in equity capital of the Bank does not fall below 52% at any time.to decide the quantum and mode(s),number of tranches, prices, discount/premium, reservations to employees, existing shareholders and or any other persons/class of investors as may be decided by the board and as provided under SEBI Regulations and the timing of such issue(s), at its discretion subject to the applicable Rule and Regulations and GOI and RBI Approval.	FOR	Not participated	Since the percentage of shareholding is lower
18	29-Nov-17	M & M Fin. Serv.	EGM	Management	Issue and allotment of upto 2,40,00,000 (Two crores and Forty lakhs) Equity Shares of ₹ 2 each/ securities convertible into Equity Shares to Qualified Institutional Buyers under the Qualified Institutions Placement.	FOR	FOR	Normal course of funding for future growth.
19	29-Nov-17	M & M Fin. Serv.	EGM	Management	Approval of Related Party Transaction	FOR	FOR	Normal course of funding from Promoter for future growth.
20	29-Nov-17	M & M Fin. Serv.	EGM	Management	Issue and allotment of upto 2,50,00,000 (Two crores and Fifty lakhs) Equity Shares of Rs. 2 each on a preferential allotment basis to Mahindra & Mahindra Limited, the holding company (the "Promoter").	FOR	FOR	Normal course of funding from Promoter for future growth.
21	12-Dec-17	Shriram Trans.	Postal Ballot	Management	Amendment of Articles of Association of the Company by way of insertion of new Article 32A after Article 32 to carry out consolidation and re-issuance of non-convertible debt securities.	FOR	Not participated	Since the percentage of shareholding is lower
22	08-Dec-17	Axis Bank	EGM	Management	Issue of Equity shares to BC Asia Investments VII Limited "Investor 1", to Integral Investments South Asia IV "Investor 2" and Convertible Warrants to BC Asia Investments III Limited "Investor 3" on a preferential basis.	FOR	Not participated	Since the percentage of shareholding is lower
23	08-Dec-17	Axis Bank	EGM	Management	Issue of Equity shares to New World Fund, Inc "Investor 4", to Capital Group New World Fund (LUX) "Investor 5", to American Funds Insurance Series - International Fund "Investor 6", to EuroPacific Growth Fund "Investor 7", to American Funds Insurance Series - International Growth and Income Fund "Investor 8", Convertible Warrants to New World Fund, Inc "Investor 4", Convertible Warrants to Capital Group New World Fund (LUX) "Investor 5", Convertible Warrants to American Funds Insurance Series - International Fund ("Investor 6") on a preferential basis.	FOR	Not participated	Since the percentage of shareholding is lower
24	08-Dec-17	Axis Bank	EGM	Management	Issue of Equity Shares to Life Insurance Corporation of India an existing shareholder belonging to the promoter category on a preferential basis.	FOR	Not participated	Since the percentage of shareholding is lower
25	15-Dec-17	CESC	Court Convened Meeting (Equity Shareholders)	Management	Scheme of Arrangement	FOR	FOR	Amalgamation and demerger between the Company and its wholly owned subsidiaries. Adequate disclosures provided. no governance issue identified.
26	20-Dec-17	NIIF LTD	AGM	Management	To receive, consider and adopt the Audited Financial Statements (including consolidated financial statements) of the Company for the financial year ended March 31, 2017, and the Reports of the Directors and Auditors thereon	FOR	FOR	No major adverse comments by auditors.
27	20-Dec-17	NIIF LTD	AGM	Management	To ratify the appointment of Statutory Auditors and to fix their remuneration	FOR	FOR	No concern identified
28	20-Dec-17	NIIF LTD	AGM	Management	To appoint a Director in place of Mr. Govind Mohan(DIN: 02599514) who retires by rotation and being eligible offers himself for re-appointment.	FOR	FOR	No concern identified
29	20-Dec-17	NIIF LTD	AGM	Shareholders	Appointment of Mr. John Benedict McCarthy as a nominee director of the company	FOR	FOR	No concern identified
30	20-Dec-17	NIIF LTD	AGM	Shareholders	Appointment of Ms. Shilpa Naval Kumar as a nominee director of the company	FOR	FOR	No concern identified
31	20-Dec-17	NIIF LTD	AGM	Management	Approving the Borrowing limits of the company	FOR	FOR	No concern identified
32	21-Dec-17	TVS Motor Co.	Postal Ballot	Management	Approving the Adoption of New set of Articles of Association of the Company.	FOR	Abstain	Cannot opine on the subject
33	21-Dec-17	TVS Motor Co.	Postal Ballot	Management	Approving the Re-appointment of Mr. Sudarshan Venu as Joint Managing Director of the Company for a further period of five years commencing from 1st February 2018.	FOR	FOR	Continuity in the management of company.
34	21-Dec-17	TVS Motor Co.	Postal Ballot	Management	Approving the appointment of Mr. Rajesh Narasimhan, Director for Holding office or place of profit.	FOR	FOR	Routine Business Matter
35	28-Dec-17	Fincare Business Services Limited	AGM	Management	To receive, consider and adopt the Audited Financial Statements (including consolidated financial statements) of the Company for the financial year ended March 31, 2017, and the Reports of the Directors and Auditors thereon	FOR	FOR	No major adverse comments by auditors.
36	28-Dec-17	Fincare Business Services Limited	AGM	Management	To appoint a Director in place of Mr. Pankaj Gulati (DIN: 01778381), who retires by rotation and being eligible offers himself for re-appointment.	FOR	FOR	Continuity in the management of company.
37	28-Dec-17	Fincare Business Services Limited	AGM	Management	To ratify the appointment of Statutory Auditors and to fix their remuneration	FOR	FOR	Within regulatory guidelines.
38	28-Dec-17	Fincare Business Services Limited	AGM	Management	Approval for amendment of Memorandum of Association of Lok Management Services Private Limited	FOR	FOR	No concern identified